Case: 1:20-cv-03758 Document #: 82-8 Filed: 11/05/21 Page 1 of 3 PageID #:2196

CFTC Ex. 538

Jim Donelson[jdonelson@longleaftrading.com]; Vicki Donelson[vdonelson@longleaftrading.com] Andrew Nelson[anelson@longleaftrading.com]#: 82-8 Filed: 11/05/21 Page 2 of 3 PageID #:2197 To:

From: Sent: Wed 7/18/2018 3:56:07 PM (UTC-04:00)

FW: Contact Information Subject:

Regards,

## **Andrew Nelson**

Senior Commodities Associate | Long Leaf Trading Group



Direct: 312.483.2179 Toll Free: 866.372.1014 Fax: 773.751.2103

Skype: anelson@longleaftrading.com

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**From:** Heather O'Hara <HOHara@NFA.Futures.Org>

Sent: Wednesday, July 18, 2018 2:55 PM

**To:** Andrew Nelson <anelson@longleaftrading.com>

Cc: Mary Alice Patton < MPatton@NFA.Futures.Org>; Shane O'Mara < SOmara@NFA.Futures.Org>

Subject: RE: Contact Information

## Andrew.

As we discussed, based on NFA's discovery this week that you have been acting as a AP without being registered as such, staff will not be recommending to the Membership Committee that you be conditionally registered. I will be in touch once staff decides how to proceed.

Thank you, Heather O'Hara

Heather M. O'Hara **Assistant General Counsel** National Futures Association 300 S. Riverside Plaza, Suite 1800 Chicago, Illinois 60606 (312) 781-1404 phone (312) 559-3479 fax

From: Andrew Nelson [mailto:anelson@longleaftrading.com]

Sent: Wednesday, July 18, 2018 2:41 PM

To: Heather O'Hara < HOHara@NFA.Futures.Org >

**Subject:** Contact Information

Ms. O'Hara

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Thank you for the call this afternoon to clarify the status/process from here on out. If you would, please send what we discussed in writing so I can then forward to the CEO of my firm to keep him updated.

Regards,

## **Andrew Nelson**

Senior Commodities Associate | Long Leaf Trading Group



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